Deep Eutectic Solvents for Next-generation Cyclodextrin Science

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Makoto Komiyama received his Ph.D. from The University of Tokyo in 1975. After spending four years at Northwestern University as a postdoctoral fellow, he became an assistant professor at The University of Tokyo, and then an associate professor at University of Tsukuba. In 1991, he was promoted to a professor of The University of Tokyo, and became a professor emeritus in 2012. Then, he spent four years at University of Tsukuba, and two years at the National Institute for Materials Science, Tsukuba. In 2016-2019, he was a visiting professor of Ocean University of China.

Abstract

In cyclodextrin science, water has been almost exclusively employed as solvent, and this imposes non-negligible limitations to the scope of applications. Accordingly, deep eutectic solvents, constructed from hydrogen-bonding donors and acceptors, have been attracting much interest as important substitutes of water. This review comprehensively covers chemical and physicochemical features of cyclodextrins in these eco-friendly solvents. In one category, cyclodextrins or their derivatives are dissolved as solutes in conventional deep eutectic solvents. All of α -, β -, and γ -cyclodextrins efficiently form inclusion complexes with various guest molecules, exactly as observed in water. Notably, chemically modified cyclodextrins (e.g., 2-hydroxypropyl-cyclodextrins) form still more stable inclusion complexes than native cyclodextrins. Alternatively, deep eutectic solvents are prepared by combining cyclodextrins with other hydrogen-bonding components. The cyclodextrin units in these mixtures also form inclusion complexes with guest molecules. It has been proposed that enhanced flexibility of cylindrical structures of cyclodextrins allows effective induced-fit to stabilize inclusion complexes. The applications of these systems widely range from catalysis for organic synthesis to extraction, analysis, pharmaceutics, and many other fields. High solubilities of CDs and various chemicals in these solvents guarantee high productivity of target transformation, and unprecedentedly novel functions are promising for these unique systems.

Keywords: cyclodextrin, deep eutectic solvent, inclusion complex, modified cyclodextrin

Graphical abstract



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2 Cyclodextrins (CDs) are cyclic oligomers of Dglucose, which have donut-like shapes and are soluble 3 4 in water (Figure 1a). Internal diameters of the cavities 5 of α -, β -, and γ -CDs (6, 7, and 8 glucose units) are about 4.5-6.0, 6.0-8.0, and 8.0-9.5 Å, respectively. 6 7 These cavities are surrounded by a ring of C-H groups, 8 a ring of glycosidic oxygens, and another ring of C-H 9 groups, and provide apolar microenvironments in aqueous solutions. The most important characteristic 10 11 of CDs is formation of inclusion complexes in which 12 various guest molecules are accommodated in the apolar cavity.¹⁻¹² The stability of inclusion complex fairly 13 14 corelates with both the hydrophobicity of quest and the fitness of guest size to the cavity size. Through 15 16 the inclusion complex formation, the physicochemical 17 properties of guest molecules are significantly modulated. For example, water-insoluble guest 18 19 molecules are solubilized in water. Highly volatile 20 compounds are stably trapped for a long time, while unstable molecules are protected from undesired 21 degradation. Furthermore, CDs show unique catalysis 22 23 for various reactions, since reagents and catalysts are 24 placed in mutual proximity in inclusion complexes to promote the reactions.¹⁰ The size and shape of 25 26 products (and substrates) are strictly regulated by 27 steric restriction of the cavity. Importantly, all these specific functions of CDs are further improved by 28 chemical modifications in which desired functional 29 30 groups are selectively introduced to predetermined 31 sites. In terms of these advantageous characteristics, 32 CDs and their derivatives have been widely and usefully employed in our daily lives (pharmaceutics, 33 cosmetics, foods, biotechnology, medicine, agriculture, 34 35 catalysis, nanotechnology, and many other fields).13-30 In addition to native α -, β -, and γ -CDs, variously 36 37 modified CDs (e.g., methylated-CDs and 2-hydroxypropyl-CDs) are currently manufactured in 38 39 industry, and commercially available at reasonable 40 prices for many attractive applications (Figures 1b and 1c).³¹⁻³⁴ 41 42



43 **Fig. 1.** Molecular structures of (a) native β -CD, (b) 44 heptakis(2,6-di-O-methyl)- β -CD (Me = -CH₃), and (c) 2-45 hydroxypropyl- β -CD (iPr = -CH₂CH(OH)CH₃), which are commercially available. Note that the numbers and 46 47 positions of substituents in modified CDs, as well as 48 their physicochemical properties, are dependent on 49 their preparation conditions (and thus on commercial 50 source).

For a long time, it has been believed that CDs form 51 52 inclusion complexes only in water. Thus, water was exclusively employed as the solvent for CD science 53 (DMSO and DMF were exceptionally employed as 54 55 substitutes).^{1-8,12} This water-biased property is highly appropriate for many applications of CDs in our daily 56 57 lives (food additives, medicines, cosmetics, and many 58 others). However, there exist also many other 59 applications, in which the use of water solvent industrial 60 imposes notable limitations (e.g., applications, material science, and others). First, the 61 62 solubility of CDs in water is rather low. For example, 63 only 18 g of β -CD can be dissolved in 1 L of water at room temperature ([β -CD] = 16 mM). Accordingly, 64 huge reactor vessels are necessary to produce (or 65 transform) large amount of target chemicals. This 66 factor should be also troublesome to fabricate CD-67 based materials. Secondarily, the chemicals employed 68 for these applications must be sufficiently water-69 70 soluble and insensitive to water. Unfortunately, however, many practically important chemicals and 71 72 drugs are often poorly soluble in water and/or unstable 73 there (even in the presence of CDs). Thirdly, large 74 amount of waste water is released from these 75 applications, and must be purified to avoid 76 environmental pollutions. Enormous cost and energy 77 are required for this post-processing.

78 In order to solve these problems and develop still 79 more advanced CD science for next-generation, the 80 use of deep eutectic solvents (DESs) has been 81 recently attracting interest as a promising strategy (Figure 2).³⁵⁻⁷⁰ These artificial solvents are produced by 82 83 mixing two (or more) components which possess hydrogen-bonding activity. Because of an enormous 84 amount of melting point depression, the mixtures 85 86 retain liquid states at wide range of temperatures, and 87 conveniently employed as media for various chemical 88 transformations. As described more in detail in 89 Section 3, the properties of DESs can be modulated 90 through appropriate choice of components and their 91 compositions, widening the scope of practical 92 applications. 93



95 Fig. 2. Use of deep eutectic solvents (DESs), in place
96 of water, for next-generation CD science.
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1 This review comprehensively covers the findings in 2 the CD science using DESs as media. Most 3 importantly, DES systems satisfactorily fulfill both of critically important requirements for 4 two the 5 successes of CD science; (i) high solubility of CDs and 6 their derivatives and (ii) efficient inclusion complex 7 formation with quest molecules. Furthermore, 8 sufficiently high solubilities of various chemicals in DESs are advantageous for effective and economical 9 applications. All the experimental procedures are very 10 simple and easy, and virtually the same as those for 11 CD science in water solvent. The green characters of 12 these systems are also big advantages for 13 environmental protection. Throughout this review, 14 unique features of CD science in DESs, which are 15 16 difficult to accomplish by using water as solvent, will be emphasized. 17 18

20 2. Two types of DES systems involving CDs

21 Current CD science in DESs is mainly divided into
22 the following two categories (Figure 3).
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(1) <u>Category I: CD-in-DESs</u> (left-hand side of Figure 3)
CDs (or their derivatives) are simply solubilized as
solutes in conventional DESs, and allow to exhibit
their functions in these non-aqueous media.

(2) <u>Category II: CD-derived-DESs</u> (right-hand side)
CDs (or their derivatives) are directly combined with
other hydrogen bonding components to construct
novel DESs, and the resultant CD-derived-DESs are
employed for specific functions.



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Fig. 3. Schematic views of two types of CD science in DESs. In category I, CDs are simply dissolved in conventional DESs. In category II, however, novel DESs are fabricated by using CDs as the primary components. In both systems, the CD units satisfactorily exhibit unique functions through inclusion complex formation.

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Both of these DES systems are characterized by high thermal stabilities, low vapor pressures, nontoxicity, and biodegradability, which are highly suitable for green chemistry and promising for variety of applications.³⁵ As expected, their unique functions 49 are primarily ascribed to inclusion complex formation 50 of the CD units with reagents and catalysts. In this 51 review, these two categories are described in detail in 52 Sections 4 and 5, respectively. The evidence for 53 inclusion complex formation in DESs is presented, 54 together with the corresponding thermodynamic and 55 kinetic characteristics. Various chemical 56 transformations using these non-aqueous solvents are 57 also described. In Section 6. "flexibilization-promoted induced-fit mechanism" is proposed as the primary 58 driving force for the inclusion complex formation of 59 60 CDs in DESs. For the readers who are not very familiar with this field, general introduction of conventional 61 DESs is presented in Section 3. 62 63

65 3. Brief survey of previous studies on 66 conventional deep eutectic solvents (DESs)

67 DESs are prepared from two (or more) 68 components which are in general a hydrogen bond 69 and a hydrogen bond acceptor, donor and conveniently employed as the media for various purposes.^{40,59} Both components (at least one of them) 70 71 72 are solid at room temperature. Upon the mixing of 73 these components, however, they strongly interact 74 each other through hydrogen bondings (also through 75 electrostatic forces and van der Waals interactions). As the result, the self-assembly of each component is 76 77 suppressed. When combinations the and 78 compositions are appropriate, the melting point of the 79 whole system is lowered down to sufficiently low 80 temperatures to provide DESs as convenient solvents 81 (detailed arguments were made in elegant reviews^{40,48}). 82 Representative components for DES preparation are 83 presented in Figure 4. Note that so many other components are available, and the scope of DES is 84 85 almost unlimited. Compared with conventional organic 86 solvents and ionic liquids (composed of a cation and 87 an anion)⁷¹, DESs are usually less expensive.^{38,40,72} 88



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Fig. 4. Representative components to produce DESs.
Many other compounds are also available, so that
DESs of desired properties can be prepared through
their appropriate combinations and compositions.

1 2 The studies in this new field started about 20 years 3 ago, when Abbott et al. discovered an abnormally 4 deep melting point depression upon the mixing of hydrogen bond donors and acceptors.^{74,75} For example. 5 6 the combination of (2-7 hydroxyethyl)trimethylammonium chloride (choline 8 chloride: ChCl) with urea in 1:2 mole ratio provided a 9 viscous liquid at room temperature (choline chloride is a solid and decomposes at 302 °C, whereas urea 10 melts at 133°C). The liquid state of this ChCl-urea (1:2) 11 mixture was maintained down to 12 °C. In the 12 13 complicated hydrogen-bonding resultant DES, 14 formed networks between the are two components.^{76,77} The interactions of chloride ions with 15 urea also prevail, playing significant roles for DES 16 formation. This ChCl-urea (1:2) DES is named as 17 18 "reline", and the most widely used for many 19 applications. After these reports, many other DESs 20 were prepared by using variety of combinations of hydrogen bonding components.75 Of course, their 21 physicochemical properties are strongly dependent on 22 23 the molecular structures of components and their 24 compositions, and thus freely designable according to 25 the needs. The DESs composed of bio-based 26 compounds such as sugars, organic acids, and amino acids are especially eco-friendly, and sometimes 27 28 termed as natural deep eutectic solvents (NADES).78 29 More recently, new kinds of DESs were prepared by using CDs as one of the components and combining 30 31 them with citric acid, lactic acid, tartaric acid, evulinic 32 acid, dimethylurea, and others, as described in Section 33 5 of this review.42

34 These DESs successfully dissolve various organic and inorganic compounds, even when they are only 35 poorly soluble in water.⁷⁹ As expected from hydrogen 36 bond-rich structures of DESs,⁸⁰ hydrogen-donating or 37 38 hydrogen-accepting compounds are especially well 39 soluble.⁷⁴ In ChCl-urea (1:2) DES, for example, the 40 solubility of benzoic acid is 0.82 M (the value in water = 0.02 M.⁸⁰ Even virtually water-insoluble AqCl is 41 solubilized up to 0.66 M. Highly hydrophobic danazol 42 testosterone derivative for 43 (a endometriosis treatment) dissolves up to 0.14 mM. Gases (CO2 and 44 SO₂) are also successfully absorbed by the DES.⁸¹⁻⁸⁴ 45 46 Hydrogen-bonding solvents (e.g., water, methanol, 47 and ethanol) are miscible with this DES. On the other 48 hand, acetone, acetonitrile. acetophenone, dichloroethane, diethyl ether, ethyl acetate, hexane, 49 50 propylene carbonate, and toluene are immiscible with 51 this DES, and construct biphasic systems. This DES is far more viscous (552 mPa·s at 30 °C) than water (1 52 mPa·s).85 However, its viscosity notably decreases 53 54 with increasing temperature (46 mPa s at 70 °C). 55 Addition of small amount of water is also effective to 56 decrease the viscosity. The density, the surface 57 tension, and the refractive index are 1.25 g·cm⁻³, 52 58 mN·m⁻¹, and 1.504, respectively (the values of pure 59 water are 1.0 g·cm⁻³, 73 mN·m⁻¹, and 1.333). On the

other hand, the density of DES formed from ChCl and 60 61 D-glucose (1:1 ratio) is 1.30 g·cm⁻³, and its refractive index is 1.666.86 The pH of this DES, evaluated by 62 63 using a conventional pH meter, is around 7, and decreases with increasing temperature (6.3 at 80°C).87 64 65 The readers who need more detailed information on conventional DESs should refer to the review 66 articles.40-42 67

In some literatures, DESs are occasionally called as
"low-melting mixture solvents". In a strict sense,
these two concepts are not exactly identical with each
other.⁷³ In this manuscript, however, the term "DES
(deep eutectic solvents)" is always used, since this
terminology seems to be more easily understandable
for many readers.

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4. Addition of CDs and their derivatives as solutes to conventional DESs ("CD-in-DES")

79 As described in previous section, conventional DESs intrinsically exhibit a number of excellent 80 characteristics. However, their properties are further 81 82 improved by combining with CDs, and unprecedently 83 new functions can be accomplished. In CD-in-DESs 84 (category I), CDs or their derivatives are added as solutes to conventional DESs, which are prepared 85 86 from hydrogen-bonding donors and hydrogen-bonding acceptors. The most important concerns are (i) 87 whether CDs are satisfactorily dissolved in these 88 89 DESs, and (ii) whether CDs form inclusion complexes 90 with guest molecules in these non-aqueous media. 91

92 4.1 Solubilities of CDs in conventional DESs

93 In many cases, CDs and their derivatives are well 94 dissolved in conventional DESs.^{42,88} In ChCl-urea (1:2) 95 DES which is the most widely employed, for example, 96 the solubilities of α -, β -, and γ -CDs at room 97 temperature are >500, >1000, and >1000 $g \cdot L^{-1}$, respectively.⁸⁹ These solubilities are much higher than 98 99 the corresponding values in water (130, 18, and 249 100 $q \cdot L^{-1}$). Especially, the increase of solubility of β -CD in 101 this DES is highly attractive, since this CD is the 102 cheapest among the three native CDs but its poorest 103 solubility in water often hampers still wider 104 applications. According to a small angle X-ray 105 scattering study on β -CD solution of ChCl-urea (1:2) 106 DES,⁹⁰ the β-CD molecules exist completely in their 107 monomeric state without mutual aggregation (at least 108 up to the concentration of 800 $g \cdot L^{-1}$). Both the ChCl 109 and the urea are hydrogen bonding with the hydroxyl groups of β -CD, and the dispersion force between β -110 111 CD and urea further mitigates the self-assembling of 112 β-CD molecules. Chemically modified CDs (e.g., 2-113 hydroxypropyl- β -CD and methylated β -CD) are also 114 satisfactorily dissolved in ChCl-urea (1:2) DES (>1000 g·L⁻¹).⁹¹ 115

The solubilities of CDs in DESs strongly depend on
their components and compositions, as expected.
Thus, appropriate DES should be carefully chosen

 according to the needs. Furthermore, physicochemical properties of DES solutions of CDs are notably changed upon the addition of small amount of water.⁹²⁻
 ⁹⁵ The solubility of CD is generally increased by the addition of water, whereas the viscosity of mixture decreases through partial rupture of the hydrogen bonds between the constituents (including CDs).⁹⁴

9 4.2 Inclusion complex formation of CDs in 10 conventional DESs

11 **4.2.1** Evidence for inclusion complex formation

It has been well recognized that the addition of 12 CDs to DESs increases the solubilities of hydrophobic 13 substrates. Furthermore, the activities of DESs as 14 15 extraction agents are also enhanced by the addition of CDs. These phenomena indicate that the CDs in the 16 17 DESs interact with hydrophobic guest molecules. 18 More direct and concrete evidence for inclusion 19 complex formation in DESs was obtained by NMR 20 experiments. For example, a specimen was prepared by adding aniline or toluene to the ChCl-urea (1:2) DES 21 containing β-CD.96 Small amount of water was added 22 23 to decrease the viscosity of specimen and obtain high-24 resolution spectrum.97 In the 1H-1H rotating-frame 25 Overhauser spectroscopy (ROESY), clear nuclear Overhauser effects were observed between the 26 protons of β -CD (H3' and H5') and the aromatic 27 protons of the guests (at 2, 3, 5, and 6 positions) 28 29 (Figure 5). However, no nuclear correlation was detected between the other protons of β -CD (H2' and 30 31 H6') and the guest protons. The H3' protons are 32 located inside the cavity of β -CD, and form a ring near 33 the secondary hydroxyl side, as depicted in the right-34 hand side of Figure 5. Similarly, the H5' protons in the 35 cavity form a ring near the primary hydroxyl side. Thus, these nuclear Overhauser effects show that, in the 36 37 DES, the aromatic ring of aniline deeply penetrates 38 into the cavity to place its protons near these intra-39 cavity protons. Concrete evidence for the inclusion 40 complex formation of β -CD in the DES has been obtained (similar methodology has been widely 41 42 employed to analyze the inclusion complexes in water). 43 In terms of similar nuclear Overhauser effects, the formation of inclusion complexes of β-CD with two 44 45 kinds of tricyclic drugs (amitriptyline and cyclobenzaprine) in ChCl-urea (1:2) DES was firmly 46 47 evidenced.98

48 In order to analyze inclusion complex formation of 49 a variety of guests in DESs, static headspace gas chromatography has been conveniently employed.⁹¹⁻¹⁰⁰ 50 51 In a hermetically sealed vial containing a DES, 52 predetermined amounts of CD and guest are 53 incubated at constant temperature, and the vapor 54 phase of equilibrium mixture is subjected to gas 55 chromatography. When an inclusion complex is 56 formed in the DES, the peak area of guest should 57 accordingly decrease in a magnitude which reflects 58 the amount of inclusion complex in the DES. This

59 method is very useful to analyze many inclusion 60 complexes systematically (see Table 1).

As is well known, UV/visible spectroscopy has 61 62 been mostly employed for CD science in water. The 63 spectra of chromophoric guests change upon the 64 inclusion complex formation, reflecting the difference 65 of chemical environments between aqueous phase 66 and the inside of CD cavity. However, this method is not very successful for CD science in DESs. For 67 example, the spectral change of methyl viologen on 68 69 the inclusion complex formation with β - and γ -CDs in 70 ChCl-urea (1:2) DES was too small, and inappropriate for detailed analysis.^{89,91} The chemical circumstances 71 72 inside the CD cavity are not much different from those 73 in the DES phase to induce sufficiently large spectral 74 change.



76 Fig. 5. Selected regions of ¹H-¹H ROESY spectra 77 showing intermolecular correlation between the H3' 78 and H5' protons of β -CD (vertical dimension) and the 79 aromatic protons of aniline (horizontal dimension) in ChCl-urea (1:2) DES. The H3' and H5' are located 80 81 inside the cavity, as depicted in the right-hand side. 82 Reproduced from ref. 96 with permission (Copyright 83 2019 American Chemical Society). 84

85 **4.2.2 Formation constants K of inclusion** 86 **complexes in DES**

87 In ChCl-urea (1:2) DES, the formation constants K 88 of inclusion complexes of CDs and their derivatives were determined with the use of static headspace gas 89 chromatography (Table 1).91 For all the guests 90 employed here (toluene, t-butylcyclohexane, limonene, 91 92 and methyl orange), the CD/guest ratio in inclusion 93 complexes is 1:1. In general, inclusion complexes of β -94 CD are more stable than the complexes of α -CD and γ -95 CD, as usually observed in water. Thus, β -CD, which is 96 the most widely employed in water, is also very useful 97 in the DES, at least for these guests. Furthermore, it is 98 noteworthy that γ -CD forms rather stable inclusion 99 complexes in this DES. Due to the large-sized cavity, 100 this CD intrinsically shows many unique features 101 which are not found with α -CD and β -CD. For example, 102 two or more guest molecules can be simultaneously 103 included in a cavity, leading to specific bimolecular 104 reactions. Unfortunately, however, its weak guest-105 binding activity has often hampered still wider

1 applications. Thus, the use of γ -CD in DESs can be an 2 important solution to this problem.

For the purpose of comparison, the K values for 3 4 the inclusion complex formation in water are shown in 5 the parentheses in Table 1. For all the guests and the 6 CDs, the inclusion complexes in the DES are 7 considerably less stable than those in water. 8 Fortunately, however, the solubilities of CDs in the 9 DES are very high, as described above. Thus, by using 10 high concentrations of CDs, sufficient amounts of inclusion complexes can be successfully obtained in 11 the DES. For example, about 90% of toluene (K = 1112 M^{1}) forms inclusion complex with β -CD in the DES, 13 when $[\beta-CD] = 1000 \text{ g} \cdot \text{L}^{-1}$ (0.88 *M*). With α -CD (500 14 g·L⁻¹) or γ -CD (1000 g·L⁻¹), about 75% of toluene is 15 forming the inclusion complex in the DES. 16

Interestingly and significantly, in ChCl-urea (1:2) 17 18 DES, 2-hydroxypropyl-β-CD (HP-β-CD; degree of 19 substitution = 5.6) and partially methylated β -CD 20 (CRYSMEB; degree of substitution = 4.9) form more stable inclusion complexes than native B-CD. These 21 two β-CD derivatives are commercially obtainable at 22 23 reasonable prices, and thus can be employed for 24 various purposes in DESs. Furthermore, these results 25 strongly indicate that the guest-binding activity in DESs can be promoted by appropriate modification of 26 27 native CDs. Fortunately, the methods for precise modification of CDs have been already firmly 28 established.¹⁰¹⁻¹⁰⁴ Highly advanced CD science is 29 30 promising with the use of DESs.

31
32 Table 1. Formation constants K (*Mⁱ*) of CD inclusion complexes
33 in ChCl-urea (1:2) DES, determined by static headspace gas
34 chromatography ^{a,b}

Guest Molecule	α-CD	β-CD	γ-CD	HP-β-CD	CRYSMEB
Toluene	6 (38)	11 (142)	4 (33)	66 (163)	25 (165)
t-Butylcyclohexane	0 (248)	11 (4092)	4 (18)	20 (2036)	42 (5577)
Limonene	2 (1289)	14 (3162)	7 (116)	80 (2787)	34 (3668)
Methyl orange	-	70 (2500)		141 (5373)	-

35 a. The K values in water are presented in parentheses for the
36 purpose of comparison. CRYSMEB = partially methylated β37 CD (degree of substitution = 4.9). b. Ref. 91
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39 4.2.3 Kinetics and thermodynamics of inclusion40 complex formation in DESs

41 By electron paramagnetic resonance study, kinetic 42 parameters of inclusion complex formations of β-CD 43 and y-CD in ChCl-urea (1:2) DES were determined (Table 2).¹⁰⁵⁻¹⁰⁷ As the probe, benzyl-tert-butyl nitroxide 44 45 $(C_6H_5CH_2N(-O)C(CH_3)_3)$ was employed. Because of 46 shorter timescale of this method than NMR, free and 47 included species provide separated signals, and thus 48 the equilibrium constant (K) for the inclusion 49 complexes, as well as the rate constants of host-guest association (k_{on}) and of host-guest dissociation (k_{off}), 50 are directly determined. When β -CD is used as the 51

host, k_{on} in the DES (2.3 x 10⁷ M^{1} s⁻¹) is more than 100 52 53 fold smaller than the value in water (2.5 x $10^9 M^1 s^{-1}$). 54 The inclusion of guest into the cavity of β -CD is 55 enormously suppressed in the DES, probably because 56 of its high viscosity. On the other hand, the 57 dissociation process is less affected, and k_{off} in the 58 DES (2.1 x 10⁶ s⁻¹) is only 4.3 fold smaller than k_{off} in 59 water $(9.0 \times 10^6 \text{ s}^{-1})$. Accordingly, the formation constant K (= k_{on}/k_{off}) of β -CD inclusion complex in the 60 DES is 25 times (= 277 $M^1/11 M^1$) as small as the 61 value in water. With the use of γ -CD as host, however, 62 the rate constant of guest inclusion (k_{on}) in the DES is 63 34 fold (= $9.3 \times 10^8/2.7 \times 10^7$) smaller than the value in 64 water. On the other hand, the dissociation of inclusion 65 complex (k_{off}) is 44 fold (= 4,4 x 10⁷/1.0 x 10⁶) slower in 66 the DES than in water. As the result, the K value of γ -67 CD inclusion complex in the DES (27 M^1) is 1.3 fold 68 69 larger than the value in water (21 M^1). Thus, γ -CD 70 efficiently forms inclusion complex in the DES, mainly 71 because its dissociation is notably slow. Apparently, 72 some factor stabilizes this inclusion complex in the DES in a notable amount. As discussed more in detail 73 in Section 6, "flexibilization-promoted induced-fit" of γ -74 75 CD cavity should be responsible for this stabilization. Furthermore, high viscosity of DES induces rather 76 77 small retardation on the inclusion process due to its 78 large-sized cavity. 79

80Table 2. Rate constants k_{on} of the inclusion complex formation81of benzyl-*tert*-butyl nitroxide with β-CD or γ-CD in ChCl-urea (1:2)82DES, as well as the values of its dissociation (k_{off}).^a

	Temp/°C	Solvent	k _{on} /M ⁻¹ s ⁻¹	k _{off} /s⁻¹	K/ <i>M</i> -1
β-CD	90	water	2.5 x 10 ⁹	9.0 x 10 ⁶	277
		L DES	2.3 x 10 ⁷	2.1 x 10 ⁶	11
	70	∫ water	9.3 x 10 ⁸	4.4 x 10 ⁷	21
γ-CD		l des	2.7 x 10 ⁷	1.0 x 10 ⁶	27
	90	DES	8.8 x 10 ⁷	3.8 x 10 ⁶	23
	CD + Gu	est k_{on}	CD-Gu	uest inclusion com	nplex

83 a. Ref. 105 84

85 In Table 3, thermodynamic parameters for the 86 inclusion complex formation in the 7:3 (wt%) mixture 87 of ChCl-urea (1:2) DES with water were directly compared with the values in pure water.¹⁰⁸ In both 88 89 solvents, verbenone (4,6,6-trimethylbicyclo[3.1.1]hept-90 3-en-2-one) forms 1:1 complex with β -CD. In the 7:3 91 DES/water mixture, the inclusion process is driven by 92 large negative ΔH (-3.3 kcal mol⁻¹), which is close to 93 the corresponding ΔH in water (-4.4 kcal mol⁻¹). In both 94 solvents, the T Δ S terms show only minimal contributions. On the other hand, camphor (1,7,7-95 96 trimethylbicyclo[2.2.1]heptan-2-one) dominantly forms 97 1:2 complex with α -CD under the conditions employed.¹⁰⁹ In both solvents, the ΔH term is the 98 primary driving force, and the T Δ S term shows a 99

smaller contribution. In conclusion, the inclusion
 complex formation of CD in the DES-water mixture is
 primarily enthalpy-driven, in the same way as the
 complex formation in water is. Important roles of van
 der Waals interactions are indicated. The driving force
 of inclusion complex formation in DESs will be further
 discussed in Section 6.

9 **Table 3.** Thermodynamic parameters (kcal mol⁻¹) for the 10 inclusion complex formation of β -CD with verbenone (and of α -11 CD with camphor) in water and in the mixture of ChCl-urea (1:2) 12 DES and water (7:3 wt%) ^a

1:1 Verbenone/β-CD Complex								
Solvent	K (<i>M</i> ⁻¹)	ΔG	ΔH	ΤΔS				
Water	1096	- 4.1	- 4.4	- 0.26				
DES-water	253	- 3.3	- 3.3	+ 0.02				
1:2 Camphor/α-CE	Camphor/α-CD Complex							
Solvent	K (<i>M</i> -2)	ΔG	ΔH	ΤΔS				
Water	70300	- 8.0	- 13.6	- 5.6				
DES-water	13800	- 5.6	- 11.2	- 5.6				

13 a. Ref. 108.

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15 **4.3 Applications of CD-in-DESs**

16 4.3.1 Catalysts for organic synthesis

The catalysis by CDs in water is characterized by 17 18 enormously high specificities with respect to the size, 19 shape, chirality, and other features of both substrates and products.^{1,6,7,10} In DESs, CDs also successfully 20 exhibit unique catalysis, since they efficiently form 21 inclusion complexes as described above.^{67,110} In Table 22 23 4, a practically useful chemical (2-amino-4H-24 benzo[b]pyran) was synthesized by one-pot reaction in 25 ChCl-urea (2:1) DES with the use of various types of CDs.¹¹¹ To this DES, benzaldehyde, malononitrile, and 26 27 5,5-dimethyl-1,3-cyclohexanedione (0.5 M each), as 28 well as CD (5 mol%), were added, and incubated at 29 60 °C for 15 min. With this simple one-pot reaction, 2-30 amino-4*H*-benzo[*b*]pyran was successfully prepared. In the presence of γ -CD in the DES, the yield was 98 31 32 mol% which was far larger than the value (27%) in the 33 corresponding aqueous solution of γ -CD. α -CD and β -34 CD were also effective in the DES, although slightly 35 less active. Without CDs, however, the yield of target product was only 14%, confirming essential roles of 36 37 CDs for the synthesis in this non-aqueous medium. In 38 this one-pot synthesis, arylidenemalononitrile is first produced by Knoevenagel condensation between 39 40 benzaldehyde and malononitrile, and then reacts with 41 5.5-dimethyl-1.3-cyclohexanedione. The resultant Michael-type adduct cyclizes, tautomerizes, and is 42 43 finally converted to the final product 2-amino-4H-44 benzo[b]pyran. These reactions are very effective, 45 because hydrophobic cavity of CD acts as microvessel 46 to accommodate benzaldehyde, malononitrile and 5,5-47 dimethyl-1,3-cyclohexanedione. Accordingly, y-CD of 48 large-sized cavity is the most effective (note that γ -CD 49 forms stable inclusion complexes in this DES, as

50 described above). Furthermore, the reaction 51 intermediates are protected by CD from undesired 52 side-reactions, and straightforwardly employed for the next step. These roles of CDs in the DES are virtually 53 identical with their roles in water solvent.^{1,6,7,37,112-115} In 54 55 this DES, the urea could further function as acid/base 56 catalyst to promote the reaction. This one-pot 57 synthesis was also successful in another DES formed 58 from sorbitol, urea, and ammonium chloride (7:2:1). By 59 replacing the malononitrile with ethyl acetoacetate 60 $(CH_3C(0)CH_2C(0)OC_2H_5)$, 1,4-dihydropyridines were also efficiently obtained by one-pot reaction in ChCl-61 62 urea (2:1) DES using γ -CD as catalyst.

64 **Table 4.** One-pot synthesis of 2-amino-4//benzo[*b*]pyran using 65 CD-in-DESs ^{a,c}

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a. [benzaldehyde] = [malononitrile] = [5,5-dimethyl-1,3cyclohexanedione] = 0.5 *M* at 60 °C for 15 min in the presence of 5 mol% of CD. b. At 70°C. c. Ref. 111

70 Organic syntheses in DESs are very advantageous 71 from the viewpoint of practical applications, in that 72 large amounts of products are preparable in a reaction 73 vessel of predetermined size. By using DESs, the 74 reactions can be accomplished at very high 75 concentrations of both CDs and reagents, which are 76 hardly attainable in water. With the use of y-CD in 77 ChCl-urea (1:2) DES, for example, about 140 g of 2amino-4H-benzo[b]pyran are obtained in 1 L reaction 78 79 mixture (as calculated from the data in the 4th row of 80 Table 4). Furthermore, CDs are easily recovered and 81 reused. After the first synthetic run, hot water was 82 added to the reaction mixture, and the crude product 83 was filtered out. The ChCl-urea-CD catalytic systems 84 were recovered by evaporation of water, and directly 85 used for the next run without noticeable deterioration. 86 Advantages of DESs as solvents for organic synthesis 87 are apparent. Note that target product can be also 88 synthesized by mixing the reagents and CD in the absence of solvent.^{116,117} However, this solvent-free 89 synthesis would be very convenient only to produce a 90 91 target chemical in small amount, and inappropriate for 92 large-scale production.

93Through the polymerization in a DES, CD-based94polymeric materials were prepared.¹¹⁸ Into the DES95formed from choline chloride and citric acid, β-CD was96added together with sodium hypophosphite

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monohydrate (catalyst). In the mixture, many β-CD 1 2 units were covalently connected with citric acid. 3 Polymeric composite gels containing CDs were also constructed.¹¹⁹ With the use of methacrylic acid as a 4 5 DES component, magnet-responsive β-CD composites were prepared to separate proteins efficiently.¹²⁰ 6 7 Molecular imprinting methodology was useful to 8 improve the binding selectivity toward target chemical.121-123 9

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11 4.3.2 CD-in-DESs as eminent solvents

DESs are intrinsically featured 12 by superb solubilization of various chemicals (Section 3), but their 13 solubilizing activities are further increased by the 14 addition of CDs. Variety of water-insoluble chemicals 15 16 are successfully dissolved in CD-in-DESs. Volatile chemicals are stably retained through encapsulation in 17 the cavity.124 Alternatively, microbial whole-cell 18 19 asymmetric biosynthesis in DESs was accelerated by 20 adding methylated β -CD through the improvements of both the solubility of substrates and the rates of mass 21 22 transfer.^{125,126} Extraction of natural products (e.g., 23 polyphenols) by CD-in-DES from various natural 24 sources is practically significant, and employed in industry.64,127-136 25

26 CD-in-DESs are also useful in environmental 27 science. Hazardous substances such as aromatic 28 compounds and antibiotics were efficiently captured 29 from water.^{96,137,138} Furthermore, poisonous chemicals in wastewater are concentrated by CD-in-DESs to 30 facilitate their analytical monitoring.^{129,139,140} The 31 32 detection limit of tetracycline by HPLC was lowered down to ma L⁻¹ level.¹²⁹ when ChCl-ethylene alvcol 33 (1:2) DES containing β -CD was used for dispersive 34 liquid-liquid microextraction¹⁴¹ (extraction solvent = 35 thymol-octanoic acid DES). Applications of CD-in-DESs 36 37 to pseudo-stationary phase in capillary electrophoresis are also significant.¹⁴²⁻¹⁵¹ To proline-urea (1:3) DES 38 containing 20% of water, β -CD was added, and employed for conventional capillary electrophoresis. 39 40 41 Furthermore, CD-in-DESs are very powerful as chiral separators, since the enantiomers bind to the chiral 42 43 cavity of CD units with different binding strengths. For 44 example, the enantiomers of adrenaline or 45 noradrenaline were clearly separated by using ChCl-46 urea (2:1) DES containing 2-hydroxylpropyl-β-CD.¹⁴³

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49 5. "CD-derived-DES" constructed by combining 50 CD with other hydrogen-bonding components 51 (category II)

52 In Section 4, CDs were added as solutes into 53 conventional DESs for various applications, and 54 showed eminent functions in these non-aqueous 55 media (category I). In this Section, however, novel 56 DESs are constructed by using CDs as one of the 57 primary components and combining them with other 58 hydrogen-bonding components (category II). The 59 resultant "CD-derived-DESs" are highly abundant in

60 CD units, and bind guest compounds through both the
61 inclusion complex formation and hydrogen
62 bondings.^{31,152-154}

6364 5.1 Formation of CD-derived-DESs

The first CD-derived-DES was reported in 2014.155 65 66 Without the use of any other solvents, β -CD was 67 mixed with N.N-dimethylurea at weight ratio 3:7 by using a mortar and pestle, and the mixture was then 68 69 heated until a clear homogenous liquid was formed. 70 Above around 90 °C, the resultant DES was a highly 71 viscous liquid (the viscosity was 1165 mPa·s at 90 °C). 72 The melting point of N,N'-dimethylurea is 104 °C, whereas β -CD decomposes at >250 °C. Notable 73 74 freezing point depressions were also observed, when 75 β -CD was replaced by either α -CD or γ -CD. 2-76 Hydroxypropyl derivatives of α -, β -, and γ -CDs, as well 77 as randomly methylated β -CD, are also available to 78 prepare DES with N/N'-dimethylurea. However, no 79 DES was obtained with the use of heptakis(2,3,6-tri-O-80 methyl)- β -CD, in which all the hydroxyl groups are methylated. Apparently, hydrogen bondings of 81 dimethylurea with the hydroxyl groups of CDs are 82 83 necessary to form these DESs. Like conventional 84 DESs described in Section 3, these CD-derived-DESs 85 are non-volatile, non-flammable, biodegradable, nontoxic, and highly promising for green chemistry. 86

Later, more easy-to-use CD-derived-DESs of lower 87 88 melting temperatures were prepared by mixing 89 chemically modified CDs with levulinic acid (HOOC- CH_2CH_2 -C(=O)CH₃).¹⁵⁶ This short-chain fatty acid 90 91 (melting point = 33-35 °C) is economically prepared 92 from biomass, and biodegradable to allow widespread commercial use.^{157,158} For example, 2-hydroxypropyl-β-93 CD (degree of substitution = 5.6) was stirred with 94 95 levulinic acid in weight ratio 1:2.5 (mole ratio 1:32) at 96 60 °C. The mixture was liquid even at room 97 temperature. At high temperatures, the viscosity is 98 sufficiently low for many applications (< 80 mPa·s at 99 60 °C), although most of CD-derived-DESs are highly 100 viscous. Heptakis(2,6-di-O-methyl)-B-CD also provided a DES with levulinic acid. According to X-ray scattering 101 experiments, ^159 the heptakis(dimethyl)- β -CD units are 102 homogeneously distributed in the mixture without 103 mutual aggregation. In most of the hydrogen bonds, 104 105 the COOH of levulinic acid is hydrogen-bond donor, 106 and the glycosidic \underline{O} , the primary $\underline{O}CH_3$, and the secondary OH of heptakis(dimethyl)-B-CD 107 are 108 hydrogen-bond acceptors. Other types of hydrogen 109 bonds (levulinic acid as hydrogen-bond acceptor and 110 heptakis(dimethyl)-\beta-CD as hydrogen-bond donor) are 111 rarely formed. Randomly methylated β-CD (degree of 112 substitution = 12.9) was also available for DES 113 preparation with levulinic acid. When unmodified β-CD 114 (native α - and γ -CDs also) was combined with levulinic 115 acid, however, no DESs of sufficiently low melting 116 points were obtained. Apparently, the density and 117 flexibility of hydrogen bond network in the mixtures 118 must be carefully controlled to prepare eminent DESs.

With the use of native α -, β -, or γ -CD as one 1 2 component, CD-derived DESs were prepared by using 3 formic acid, glacial acetic acid, or propionic acid as the 4 counterpart component (e.g., mole ratio = 3:1). At 5 30 °C, CDs were mixed with these organic acids under 6 vigorous stirring for 5-10 min, until transparent 7 homogeneous liquid was formed. These DESs are 8 very easily preparable, and are employed for the 9 industrial desulfurization of fuel oil (see Section 5.3).¹⁶⁰ 10 It should be noted that CD-derived-DESs usually 11 contain considerable amount of water (a few percents in weight or more), since commercially obtainable CDs 12 always bear some hydration water molecules.¹⁶⁰ 13 14 These water molecules in DESs perturb the hydrogen 15 bonding networks, resulting in notable changes in their physicochemical properties (viscosity, solubility 16 of chemicals, and others). Thus, sufficient care should 17 18 be paid to the water content, when CD-derived-DESs 19 of desired physicochemical properties are prepared 20 according to the literatures. 21

22 5.2 Inclusion complex formation of the CD unit in23 CD-derived-DESs

24 Direct evidence for inclusion complex formation of 25 CDs in CD-derived-DESs was obtained by ¹H-NMR, as was the case for CD-in-DESs (see Section 4.2.1).¹⁶¹ 26 27 Into the DES prepared from randomly methylated-β-28 CD (degree of substitution = 12.9) and levulinic acid 29 (3:7 weight ratio), trans-anethole (CH₃O-C₆H₄-CH=CH-CH₃) was added as the guest molecule. The specimen 30 31 contained 2.5 wt% of water. Nuclear Overhauser 32 correlations between the protons of *trans*-anethole 33 and the protons of randomly methylated- β -CD were 34 analyzed. In ROESY spectrum (Figure 6), the aromatic 35 protons of *trans*-anethole strongly corelated with the 36 H3' and the H5' protons of methylated-B-CD, which 37 are located inside the cavity (see Figure 5). The 38 methoxy protons of methylated- β -CD. which are 39 hanging at the periphery of cavity, also correlated with 40 the aromatic protons of trans-anethole. Apparently, in 41 this CD-derived-DES, the phenyl group of transanethole is placed inside the cavity of methylated-β-42 CD to form inclusion complex. 43



Fig. 6. ¹H-¹H ROESY spectra showing intermolecular correlation between the protons of β-CD (vertical dimension) and anethole (horizontal dimension) in the DES composed of randomly methylated-β-CD and levulinic acid (3:7 weight ratio). Reproduced from ref. 161 with permission (Copyright 2020 Royal Society of Chemistry).

54 5.3 Practical applications of CD-derived-DES 55 5.3.1 Solvents for organic synthesis

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56 CD-derived-DESs successfully dissolve various 57 chemicals and catalysts, and are very convenient as the solvents for variety of reactions.161,162 Their non-58 volatility, nontoxicity, and thermal stability are 59 60 significant advantages over conventional organic solvents. In the DESs formed from β -CD (or its 61 62 derivatives) and N,N'-dimethylurea (3:7 weight ratio), 63 Rh(acac)(CO)₂/(*m*-sulfonatophenyl)phosphine catalyzed 64 hydroformylation of 1-decene to the aldehyde under 1:1 CO-H₂ mixture (50 bar).^{153,163,164} The DES formed 65 from randomly methylated β -CD was especially 66 eminent, since the viscosity is low because of limited 67 68 density of hydrogen bondings. The reaction rapidly 69 proceeded in biphasic systems (1-decene was 70 insoluble in the DES), and almost completed within 1 h. Similarly, Pd(OAc)₂/(m-sulfonatophenyl)phosphine in 71 this DES catalyzed the cleavage of allylcarbonates 72 with diethylamine (Tsuji-Trost reaction).¹⁶¹ For Suzuki 73 74 and Heck couplings, a DES was prepared from β-CD and N-methylurea (3:7 weight ratio).165 The catalyst 75 76 was β -CD-capped Pd⁰ nanoparticles, which were 77 obtained by treating Na₂PdCl₄ with NaBH₄ in the 78 presence of β -CD. Catalytic amounts of K₂CO₃ and water were added. At 85 °C under air, target reactions 79 80 (e.g., coupling of bromobenzene and phenylboronic 81 acid to biphenyl) were efficiently accomplished without the use of any toxic ligands and organic 82 solvents. Furthermore, DESs composed of CDs and 83 84 natural acids (citric acid and malic acid) were heated to 80 °C to provide new materials showing unique 85 adhesion properties and excellent processability.¹⁶⁶ Up 86 to now, the number of researches on organic 87 synthesis in CD-derived-DESs has not yet been 88 89 abundant. However, successful inclusion complex 90 formation, as well as many other unique features, 91 indicates strong possibility of future developments. 92

93 **5.3.2 Desulfurization of fuel oil**

94 In oil industry, desulfurization process is inevitably 95 necessary to avoid the exhaust of poisonous SO_x in the combustion gas.^{167,168} Among various types of 96 97 sulfur compounds in oil, dibenzothiophene derivatives 98 are especially stable, and hardly removable by traditional hydrodesulfurization processes. In order to 99 100 remove them efficiently, "extraction combined with catalytic oxidation desulfurization" method is useful 101 102 and attractive, since ultra-deep desulfurization is achievable under mild conditions.¹⁶⁹ In this method, 103

aromatic sulfur compounds are first extracted from 1 2 fuel oil to appropriate solvent, and catalytically oxidized 3 to sulfones there. Recently, it was reported that CD-4 derived-DESs are powerful as both extractants and 5 catalysts for these processes. In Figure 7, a DES was 6 prepared from methylated-B-CD and formic acid (in 1:3 mole ratio).¹⁵⁹ According to infrared spectroscopy, the 7 8 COOH group of formic acid is hydrogen-bonding donor. 9 whereas the <u>OH</u> (or <u>OCH₃</u>) of methylated- β -CD is acceptor. When a model fuel oil (n-octane containing 10 dibenzothiophene (DBT), benzothiophene, and 4,6-11 dimethyldibenzothiophene) was treated with this DES 12 containing H_2O_2 (oxidant), these aromatic sulfur 13 14 compounds in the oil were efficiently oxidized and removed. Under the optimal conditions (T = 30 $^{\circ}$ C, the 15 16 H_2O_2/DBT ratio = 3), the DBT was almost completely removed. In the DES phase, the formic acid as its 17 18 major component is converted by H₂O₂ into its 19 peroxide $(HOO-CH(OH)_2)$, which is the active species 20 for the oxidation process. Into this DES phase, the 21 benzothiophene. DBT. and 4.6-22 dimethyldibenzothiophene are extracted from the oil 23 due to their inclusion complex formation with 24 methylated-β-CD.¹⁷⁰ Their fair solubilities in the DES 25 are also favorable for this extraction process. In the DES phase, the aromatic sulfur compounds are 26 27 strongly hydrogen bonding with the components of CD-derived-DES, and chemically activated through the 28 29 distortion of their planar structure.^{171,172} Accordingly, the peroxide of formic acid promptly oxidizes these 30 otherwise less reactive aromatic sulfur compounds to 31 32 the corresponding sulfones, which are accumulated in 33 the DES phase. As the result, chemically stable 34 aromatic sulfur compounds can be efficiently removed The desulfurization efficiency of 35 from the oil. methylated-B-CD-derived DES was higher than those 36 of α -, β -, and γ -CD-derived DESs, probably reflecting 37 38 the strong affinity of aromatic sulfur compounds to the 39 cavity of this modified β -CD for their efficient 40 extraction from the oil to the DES phase. As the 41 partner of CD for DES formation, formic acid is more appropriate than glacial acetic acid and propionic acid. 42 43 The DESs can be readily recovered and recycled. The 44 addition of carbon quantum dots to DESs was effective to promote the desulfurization efficiency.¹⁷³ 45 46



Fig. 7. Removal of dibenzothiophene (DBT) from fuel oil through "extraction combined with catalytic oxidation desulfurization method" with the use of H_2O_2 in the DES composed of methylated-β-CD and formic acid (1:3). Ref. 159.

54 5.3.3 Other applications

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CD-derived-DESs are very attractive as green 55 solvents showing high solubilities of variety of 56 57 chemicals.⁴² Poorly water-soluble pharmaceutical ingredients (e.g., steroids) were successfully dissolved 58 59 in the DES composed of sulfobutylether-β-CD (degree 60 of substitution = 6.5) and levulinic acid (3:7 weight ratio).¹⁷⁴ Furthermore, various practical applications 61 have been widely attempted. For example, volatile and 62 63 poisonous organic compounds (acetaldehyde, dichloromethane, and thiophene) were efficiently 64 trapped through the encapsulation by CD units.175-177 65 66 These chemicals were easily desorbed by increasing temperature. Various contaminants 67 (pesticides. antibiotics, metal ions, and others) in foods were 68 precisely analyzed by concentrating them in CD-69 derived-DESs through extraction pretreatments.¹⁷⁸ 70 71 Furthermore, the DES prepared from sulfated-β-CD 72 and citric acid was effective as chiral selector in capillary electrophoresis.^{179,180} With the network of β -73 74 CD cavity and/or high viscosity of DES, the 75 of amphetamine, enantiomers nefopam, and 76 cathinone derivatives were clearly separated.

77 Alternatively, the DESs composed of CD 78 derivatives (e.g., randomly methylated- β -CD) and 79 levulinic acid (or citric acid and lactic acid) are useful as vehicles for drug delivery.^{152,181-184} For example, the 80 81 solubility of ketoprofen (a non-steroidal antiinflammatory drug) in methylated-B-CD-levulinic acid 82 83 (1:27 mole ratio) DES is 190 mg/mL, which is 1600 times as large as the value (0.12 mg/mL) in water.¹⁸² 84 Furthermore, unstable drugs are stabilized by the 85 inclusion complex formation with CD units. Volatile 86 flavors were kept shelf-stable during long-term 87 storage.¹⁸³ Of course, non-toxicity and environmental 88 89 friendliness of CD-derived-DESs are essential for all 90 these applications.

91 The DESs composed of CDs and 92 monoethanolamine (1:3 mole ratio) eminently absorb 93 CO₂ at 80°C.⁸³ The CO₂ absorption is primarily ascribed 94 to hydrogen bonding of the amino group with CO₂, 95 which leads to the formation of carbamate 96 intermediate via a zwitterion (-NH2+COO). The 97 absorption rate was not much changed above 80°C, 98 indicating that the viscosity of media hardly affected 99 the process. The absorbed CO₂ was efficiently 100 released by increasing temperature, since the 101 carbamate in the DES is unstable. The absorption-102 desorption cycle was repeated without significant 103 deterioration, reflecting high thermal stability of the 104 CD-derived DES. 105

2 6. Why CDs can effectively form inclusion 3 complexes in DESs?

4 As described in Introduction section, it has been 5 long believed that CDs form inclusion complexes only in water.¹⁻⁸ Inclusion complexes are efficiently formed 6 7 there, primarily because quest molecules prefer the 8 apolar cavity of CDs to aqueous medium, and this preference is the main impetus for the transfer of 9 10 guest into the cavity. Accordingly, apolar guests, 11 which fit the cavity tightly, form more stable inclusion complexes. In the cavity, van der Waals interactions 12 occur between the guest and the cavity wall, leading 13 to enthalpy-driven formation of inclusion complexes 14 (more details are described elsewhere ^{1,2,9-11}). Thus, it 15 16 was rather surprising that CDs effectively form inclusion complexes in DESs, since the following two 17 18 factors should be unfavorable for inclusion processes. 19 First, many quest molecules are fairly soluble in DESs, 20 and do not necessarily prefer the accommodation in the cavity of CDs. Secondarily, the components of 21 DESs (hydrogen-bonding donors and acceptors) 22 23 should be, at least to some extent, bound by the 24 cavity, and competitively suppress the inclusion 25 complex formation of target guest compound. In order to form CD inclusion complexes successfully in DESs, 26 these two unfavorable factors must be satisfactorily 27 28 compensated by some other factor(s). However, no 29 detailed mechanistic arguments have not yet been made on this critical issue. 30

As the primary driving force for efficient inclusion 31 32 complex formation in DESs, the present author should 33 like to propose "flexibilization-promoted induced-fit 34 mechanism". Here, DESs enormously facilitate 35 induced-fit of CDs upon guest-binding, compared with the process in water. In water, CD takes a firmly 36 stabilized cylindrical structure, since the secondary 37 38 hydroxyl groups consecutively form hydrogen-bonds 39 with the adjacent glucose units to construct an 40 intramolecular ring of hydrogen bonds at the rim of cavity.1,10,185,186 cavity.^{1,10,185,186} When a guest molecule is accommodated in this rigid CD cavity to form inclusion 41 42 43 complex, notable gaps should be formed between the guest and the internal wall of the cavity, as 44 45 schematically depicted in the upper part of Figure 8. 46 Accordingly, van der Waals interactions between the 47 host and the guest can never be maximized. In DESs, 48 however, the components of DESs, which are 49 hydrogen bonding donors and acceptors (see Section 50 3), should form hydrogen bonds with these hydroxyl 51 groups of CDs, and suppress the formation of 52 intramolecular ring of hydrogen bonds. As the result, 53 CD molecules are made more flexible, and can alter 54 the structures to fit the guest molecule more tightly. In other words, the shape of cavity can be changed, 55 56 when necessary, to fill up the gaps between the guest 57 and the cavity wall as much as possible (see the lower 58 part of Figure 8). The resultant increase of van der 59 Waals interactions compensates the two unfavorable

factors which should suppress the inclusion complex
formation in DESs ((i) high solubilities of guests and (ii)
competitive inhibition by the DES components). Thus,
CD inclusion complexes can be efficiently formed as
enthalpy-driven processes even in these non-aqueous
media.

66 All these arguments are supported by several 67 findings. First, y-CD, which is a rather poor host molecule in water, can form sufficiently stable 68 inclusion complexes in DESs (see Tables 1 and 2). The 69 70 cavity of this CD is too large and less hydrophobic to 71 form highly stable inclusion complexes in water. In 72 DESs, however, the intramolecular ring of hydrogen bonds at the rims of cavity is partially destroyed 73 74 through competitive hydrogen-bonding of the DES 75 components. As the result, the cylindrical structure of 76 γ -CD, which is intrinsically more flexible than those of 77 α - and β -CDs, is further flexibilized to change the 78 structure adequately to strengthen van der Waals interactions with this guest. The second support of 79 80 proposed mechanism is that both 2-hydroxypropyl-B-CD and partially methylated B-CD (CRYSMEB) form 81 more stable inclusion complexes in DESs than native 82 83 β -CD. In these chemically modified β -CDs, the 84 intramolecular ring of hydrogen bonds is incomplete even in water (some of the substitutions occur at the 85 86 secondary hydroxyl groups). Thus, their intramolecular rings of hydrogen bonds are destroyed in DESs still 87 more drastically to fit the guest for strong binding 88 89 through induced-fit.



Fig. 8. Schematic view of "flexibilization-promoted 91 92 induced-fit mechanism" (the lower part), proposed for 93 efficient inclusion complex formation of CDs in DESs. The cylindrical structure of CD is more flexible in DESs, 94 95 and can be distorted according to the needs to fit the 96 guest tightly. For the purpose of comparison, inclusion 97 of a guest into rigidly cylindrical cavity of CD in water 98 is presented in the upper part. 99

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101 Furthermore, the proposed mechanism is 102 consistent with previous X-ray crystallography on the 103 crystals of chemically modified CDs, prepared from 104 aqueous solutions. For example, permethylated α - and 105 β -CDs, in which all the hydroxyl groups are methylated

and cannot form hydrogen bonds with the adjacent 1 2 glucose units, take highly distorted non-cylindrical 3 structures.¹⁸⁷ Each of the 2,3,6-tri-O-methyl-D-glucose 4 units is orienting almost independently from the other 5 units. Even in the crystal of hexakis(2,6-di-O-methyl)-a-6 CD, each of the dimethyl-D-glucose units inclines with 7 considerably different tilt-angle, making the cavity less symmetrical.188 8 Although hydrogen bonds are 9 consecutively formed between the $0^{(3)}$ <u>H</u> and the 10 $0^{(2)}$ (CH₃), the resultant intramolecular ring cannot sufficiently maintain the canonical cylindrical structure 11 of native α-CD. In order to obtain more straightforward 12 evidence for the "flexibilization-promoted induced-fit 13 mechanism" proposed for the inclusion complex 14 15 formation in DESs, guantitative analysis by NMR (e.g., nuclear Overhauser effects and relaxation time) should 16 be useful. Structural analysis on the crystals of 17 18 inclusion complexes, prepared from DES solutions, 19 should also provide valuable information.

20 21

22 7. Conclusions and Prospects

23 DESs are constructed from hydrogen-bonding 24 donors and acceptors, and have been attracting much 25 interest as green solvents. Quite interestingly, CDs and their derivatives efficiently form inclusion 26 27 complexes with various guest molecules in conventional DESs (CD-in-DESs). The solubilities of 28 29 CDs in DESs are usually far higher than the values in water, and thus large amounts of inclusion complexes 30 31 can be formed therein. It is noteworthy that 32 chemically modified CDs (e.g., 2-hydroxypropyl- and 33 methyl-CDs) form more stable inclusion complexes 34 than native CDs. In another approach, DESs are directly prepared by combining CDs with other 35 hydrogen-bonding components (CD-derived-DESs). 36 37 The CD units therein also successfully form inclusion complexes with guest compounds. It has been 38 39 proposed that the molecular flexibility of CD is 40 increased in DESs to promote induced-fit for efficient inclusion complex formation. These DES systems are 41 freely designable in terms of the structures of both 42 43 DESs and CDs. Chemical modifications of CDs are 44 one of the most important approaches. Thus, most of 45 the excellent features of CDs, observed in water, are satisfactorily accomplished in DESs. Still more 46 47 importantly, the productivities of transformations in 48 DESs can be enormously high, compared with the 49 reactions in water, mainly due to higher solubilities of 50 CDs and reagents. Furthermore, inclusion complexes 51 in DESs could take novel and unique structures, and 52 thus are expected to show unprecedentedly 53 sophisticated which functions, are never 54 accomplishable in water. Variety of practical 55 applications (catalysis, extraction, analysis, medicine, 56 environmental science, and many others) are 57 promisina.

58 In order to develop still more advanced CD science 59 in DESs, detailed physicochemical studies should be

highly required. The most important information is 60 61 "apparent" pKa values of various functional groups (amine, carboxylic acid, and others) in DESs. They 62 63 should be considerably different from the values in 64 water, and strongly dependent on the kind of DES. 65 With the use of these "apparent" pKa values, the amounts of acidic form of a functional group and its 66 67 conjugate-base in DESs are precisely evaluated, and used to logically design eminent functional systems 68 69 for various applications (including superb catalytic 70 systems). The most appropriate functional group(s) for desired function can be connected to CDs covalently 71 72 or noncovalently, while the most suitable DESs can be 73 fabricated through appropriate combination of 74 hydrogen-bonding components. In addition to these 75 physicochemical studies, the use of ordered assemblies of multiple CDs should be also highly 76 77 attractive for further developments of CD science in 78 DESs. As evidenced previously in water solvent, 79 multiple CD units in ordered assemblies successfully 80 cooperate each other to show complicated and elaborate functions which are not achievable with 81 monomeric CDs.¹⁰ By extending these findings to DES 82 83 systems, entirely novel CD world should be opened. 84 The researches on CD-in-DESs and CD-derived-DESs 85 are rather new and still premature, but should grow 86 rapidly soon in terms of their unique and practically attractive features. 87

Conflict of interest statement. None declared.

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